



Don't be fearful, lest it be undesirable:
prohibitives and precautions in Papapana

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Outline

1. Introduction to Papapana
2. Papapana prohibitives with *te* vs. *ae*
3. Papapana precautioning sentences with *te*
4. Apprehensive and Prohibitive similarity
 - ∞ Polysemy?
 - ∞ In which direction and how did semantic change occur?
5. Concluding Remarks

Papapana: who, where, what



- 99 fluent speakers in Bougainville, PNG
- Austronesian > Western Oceanic > Northwest Solomonian (NWS)
- Contact with Tok Pisin and Papuan languages (incl. Rotokas)

Papapana: the data

- Fieldwork 1: June 2011-March 2012
- Fieldwork 2: March-May 2013
 - ❧ 10.5 hrs 'Texts'
 - ❧ custom descriptions, personal/traditional narratives, describing objects, procedural descriptions...
 - ❧ 48.5 hrs Elicitation + Fieldnotes
- Fieldwork 3: April 2018
 - ❧ 2.5 hrs Elicitation + Fieldnotes

Grammatical overview

- Nominative-accusative
- SVO and SOV
- ‘Verb Complex’: verb(s) + modifiers
 - ❧ Anaphoric verb agreement - subject proclitics, object enclitics
 - ❧ Postverbal subject-indexing enclitics (PSI) – NWS, reflects former possessor indexing, typically IPFV
 - ❧ **Preverbal negator *ae***
 - ❧ **Reduplication: prohibitives**, reciprocal, habitual/continuous
 - ❧ Tense: marked past and future
 - ❧ Aspect: proximative, habitual, continuous, repetitive, completive
 - ❧ Mood: hypothetical COND, counterfactual COND, optative, **apprehensive**
 - ❧ TAM distinctions often realised by combinations of morphemes – **exponence of TAM value is distributed**

A scenic landscape featuring a calm lake in the foreground, a dense green forest in the middle ground, and a range of mountains in the background under a blue sky with scattered white clouds. A log is visible in the lower-left corner of the frame.

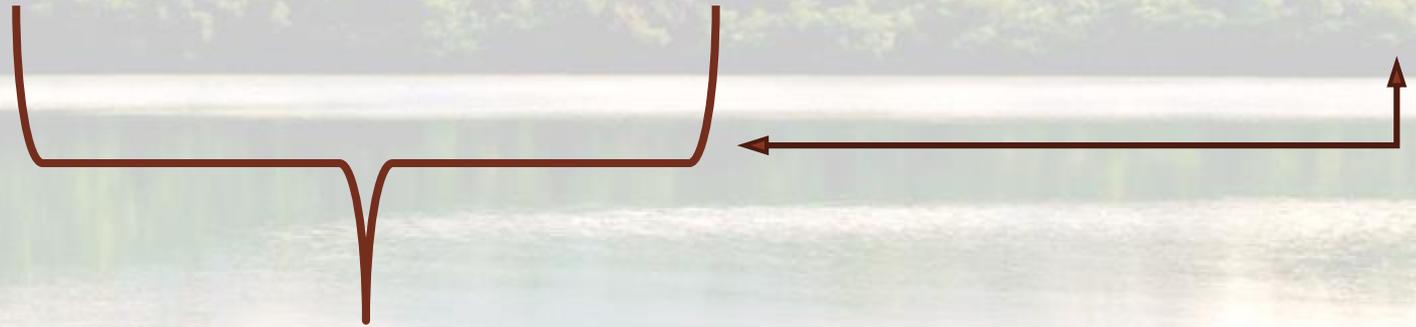
Don't be fearful...

Prohibitives

- **Prohibitives** – “the speaker tries to make the hearer not realize some state of affairs” (Devos and Van Olmen 2013: 22)
- aka **negative imperative** - sometimes used when there is not a distinct negative marker

Papapana verb complex

S B J =	PST. IPFV	OPT COND	NEG APPR	PST	REP	A D V	D I R	V A L	VERB	D I R	COMPL	A D V	= O B J	IPFV ...	D I R	IRR
		pei	eri awa	ae te	ara	vare				REDUP		osi			=PSI	



Prohibitive clause

Prohibitives

■ *ae*

10. Mu=**ae** va~vatan=i=**a=i**.
2PL.SBJ=NEG RD~tell=TR=3SG.OBJ=IRR
'Don't tell him.' (1-T065)

11. O=**ae** oto~'oto te=na=au obutu
2SG.SBJ=NEG RD~board OBL=SPEC=CLII canoe
'Don't board the canoe' (2-E026)

■ *te*

12. Mu=**te** nao~nao=**i**
2PL.SBJ=APPR RD~go=IRR
"Don't go/You're not going" (1-T053)

13. "Mu=**te** atu~atun=i=a enai au=sinoni!"
2PL.SBJ=APPR RD~attack=TR=3SG.OBJ DEM 1SG.PSSR[CLI]=husband
"Don't attack my husband!" (1-T101)

Prohibitives: *ae* vs. *te*

- 2011-2013: speakers reported *ae* and *te* were interchangeable

14. O=**ae** to~tonu
2SG.SBJ=NEG RD~stand

15. O=**te** to~tonu
2SG.SBJ=APPR RD~stand
'Don't stand up' (2-E028-2)

Prohibitives: *ae* vs. *te*

- 2018: one speaker reported *ae* is used when action hasn't begun yet:

16. O=**ae** vae~vaene, o=**te** pu=**i**.
2SG.SBJ=NEG RD~climb 2SG.SBJ=APPR fall=IRR
'Don't climb (that tree that you haven't climbed yet), (or) you might fall.' (3-E001)

- while *te* is used when prohibiting someone from doing something already commenced:

17. O=**te** vae~vaene, o=**te** pu=**i**.
2SG.SBJ=APPR RD~climb 2SG.SBJ=APPR fall=IRR
'Don't climb (that tree that you've started to climb), (or) you might fall.' (3-E001)

Prohibitives: *ae* vs. *te*

- ✓ **Traditional narrative:** people are running with bows and clubs to attack woman's husband and she suddenly jumps down:

18. “Mu=**te** atu~atun=i=a enai au=sinoni!”
2PL.SBJ=APPR RD~attack=TR=3SG.OBJ DEM 1SG.PSSR[CLI]=husband
“Don't attack my husband!” (1-T101)

- ? **Personal narrative (civil war):** speaker and other women were travelling and stopped by soldiers who told them:

19. “Mu=**ae** nao~nao tac=i, mu=**ae** nao~nao=i”
2PL.SBJ=NEG RD~go up=IRR 2PL.SBJ=NEG RD~go=IRR
“Don't go up, don't go/You're not going up, you're not going” (1-T053)

- ? Women explained they were looking for some women (not just anybody), soldiers told them:

20. Mu=**te** nao~nao=i
2PL.SBJ=APPR RD~go=IRR
“Don't go/You're not going” (1-T053)

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...lest it be undesirable

Apprehensives

- **Apprehensive** – possible, undesirable, best avoided
- A mixed modality...both epistemic and attitudinal...
speaker's degree of certainty and attitude (Lichtenberk 1995: 291)
- Various terms: *admonitive, evitative, monitory, negative purpose clauses, timitive mood* (Vuillermet 2018)

- **Functional subtypes** (Lichtenberk 1995):
 1. **Apprehensional-epistemic**: independent clause
 2. **Fear**: complement clause, predicate of fear
 3. **Precautioning**: precaution main clause + apprehension-causing subordinate clause

Papapana verb complex

S B J =	PST. IPFV	OPT COND	NEG APPR	PST	REP	A D V	D I R	V A L	VERB	D I R	COMPL	A D V	= O B J	IPFV ...	D I R	IRR
	pei	eri awa	ae te	ara	vare				REDUP		osi			=PSI		=i

Apprehension-causing clause

Precautioning sentences

- **Precaution (main) clause:**

1. Declarative
2. Imperative/Hortative *V (=i)*
3. Prohibitive *ae/te* + *RD~V (=i)*

☞ Expresses preventative action to take, to avoid the...

- **Apprehension-causing (adverbial) clause: *te + =i***

1. “**Sa=nao** **toveni** **nao=i,** **i=te** **moroko=ira|i=i.”**
1INCL.SBJ.HORT=go check thither=IRR 3PL.SBJ=APPR lie=1INCL.OBJ=IRR
“Let’s go check, (in case) they might be lying to us.””

(1-T034)

Precaution clause

■ Imperative *V (=i)*

2. O=nabe=i, o=te mate=i.
2SG.SBJ=swim=IRR 2SG.SBJ=APPR die=IRR
'Swim, (otherwise) you might die.'/ 'Swim, (so that) you don't die.'

(2-E028-2)

3. Ta na=au dada o=noe a'aisi, e=te tuatuare=i.
but SPEC=CLII coconut.milk 2SG.SBJ=put many 3SG.SBJ=APPR burn=IRR
'But put lots of coconut milk [on your hands], (otherwise) it will burn.'/ 'But put lots of coconut milk [on your hands], (so that) it doesn't burn.'

(1-T036-8)

■ Prohibitive *ae/te + RD~V (=i)*

4. O=ae ago~agos=i=a pei to'o~to'o, e=te tepe=i=o=i.
2SG.SBJ=NEG RD~hold=TR=3SG.OBJ part RD~cut 3SG.SBJ=APPR cut=TR=2SG.OBJ=IRR
'Don't hold the knife, (otherwise) it might cut you.'/'Don't hold the knife, (so that) it doesn't cut you.'

(2-E028)

Apprehensive clause: dependent

- Precaution clause and Apprehension-causing clause are usually linked asyndetically
- Apprehension-causing clause is syntactically dependent
 1. Evidence that subordinator **can** be employed
 2. No strong evidence that *te + V + =i* can occur as independent clause

Apprehensive clause: subordinator

5. ...e=va-mamago=a pei tanga
 3SG.SBJ=CAUS-decorate=3SG.OBJ part hang

tenava e=te uga poto=i...
 so.that 3SG.SBJ=APPR drown INTS=IRR

'...she adorned him with the necklace, so that he didn't drown...'/ '...she adorned him with the necklace, otherwise he might drown...'

(1-T029)

6. O=tavia o'ogo=a=i, avosia saviako te ani o na=gono,
 2SG.SBJ=rub well=3SG.OBJ=IRR SUBR tapioca OBL 2SG or SPEC[CLI]=banana

mata=au o=to atuma'as=i=a=i,
 what=CLII 2SG.SBJ=EMPH cook=TR=3SG.OBJ=IRR

e=te ravarava=i
 3SG.SBJ=APPR black=IRR

o=to Eri tatu=ina=mu=i.
 2SG.SBJ=EMPH OPT mash=3PL.OBJ=2SG.IPFV=IRR

'You rub it well, so that your tapioca or the banana, whatever you cooked, won't be black when you want to mash them.'

(1-T036-8)

Apprehensive clause: independent?

- Only examples from **one** speaker in April 2018 when...
 - ...I elicited with Tok Pisin *nogud* 'lest'

7. U=te nao=i Buka natui
1SG.SBJ=APPR go=IRR Buka tomorrow
'Nogud bai mi go long Buka tumora|'
'I might go to Buka tomorrow' (3-E002)

- ...we'd discussed the complex sentence first

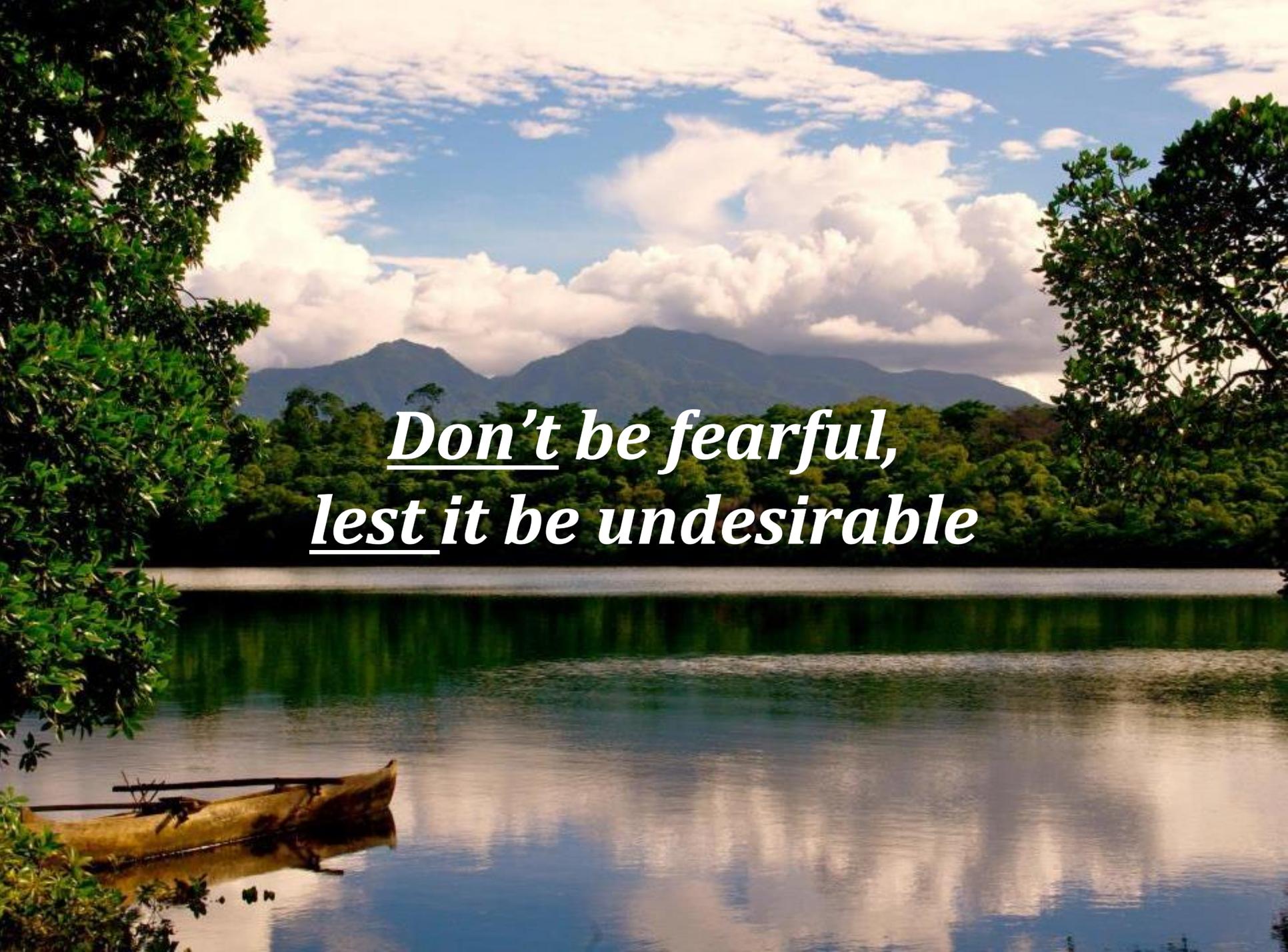
8. O=te mate=i
2SG.SBJ=APPR die=IRR
'you might die' (3-E001)

- ...I provided context (shock/Tok Pisin *lukaut* 'look out')

9. Na='oru e=te ani=o=i
SPEC[CLI]=snake 3SG.SBJ=APPR eat=2SG.OBJ=IRR
'the snake might/will bite you' (3-E002)

Apprehensive clause: dependent

- Arguably the speaker was biased by Tok Pisin, especially as using English 'might' resulted in *irrealis =i + bea* 'maybe'
- Arguably the speaker had Precaution clause in mind, c.f.
- **Diyari (Pama-Nyungan; Australia):** Implicit imperative/warning/suggestion and such sentences “may be regarded as structurally subordinate because it is always *possible* to add a main clause before them, although context may make it unnecessary” (Austin 1981:229)
- **Mwotlap (Austronesian, Oceanic; Vanuatu):** Implicit precaution which is reconstructed; context restores/implies precaution or semantic content inferable from speaker's reaction (François 2003: 310-312)

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*Don't be fearful,
lest it be undesirable*

APPR and PROH similarity

- ☞ **Lavukaleve (E.Papuan; Solomon Islands):** admonitive mood *-n* = admonitive, deleterious possibility, neg. imperative... (Terrill 2003)
- ☞ **Rotokas (E.Papuan; Bougainville):** APPR particle *teapi* 'lest', also as PROH, 'don't / mustn't' c.f. *opeita* 'don't' (Robinson 2011)
- ☞ **Tok Pisin (English-based creole; PNG):** *nogut* 'lest, don't, else'
- ☞ **Tukang Besi (Austronesian; Indonesia):** conjunction *bara* 'lest', or 'don't' in main clauses, c.f. *ka'ulu* 'don't' (Donohue 1999: 453-454)
- ☞ **Maori (Austronesian; NZ):** monitory particle *kei* can also negate imperatives c.f. other negative imperative (Bauer 1993: 37, 465)
- ☞ **Vatlongos (Austronesian, Oceanic; Vanuatu):** overlap between APPR mood and PROH prefix paradigm, though prohibitives also require -ve clitic *ti* (Ridge 2019)

Polysemy?

- Aikhenvald (2010: 358) describes Tok Pisin *nogut* as polysemous
- Also arguably the case for Lavukaleve, Rotokas, Tukang Besi and Maori – because “multiple senses of the same phonological word” which “are judged to be related” (Saaed 2016: 60)
- But in Vatlongos and Papapana – not polysemy because APPR and PROH constructions in each language are not identical
 - ✧ Vatlongos – addition of -ve clitic *ti* in PROH (Ridge 2019)
 - ✧ Papapana – verbal reduplication in PROH (and irrealis *=i* optional)
- Instead, arguably diachronically related in Papapana
 - *te* is formally identical in both, same syntactic position in VC
 - Senses are similar: *te* marks the event as undesirable in both

Development

- Pakendorf & Schalley (2007) find use of affirmative epistemic/apprehensive forms to express prohibitives rare and propose the grammaticalisation path:
possibility → apprehension → warning → prohibition
 - Involves conventionalization of implicatures
 - Undesirability implicates warning > incipient prohibition
- Counter to proposed unidirectional development
Deontic modality → Epistemic modality
 - see, e.g., Bybee and Pagliuca (1985), Heine, Claudi, and Hünemeyer (1991), Traugott (1989)

Direction of development

- If **PROH** *te + RD~V + (=i)* → **APPR** *te + V + =i*
- Then (a) both *te* and *ae* in PROH to begin with
 - ❖ But unusual for Oceanic languages to have two PROH morphemes
- Or (b) *ae* began to negate IMP as well as DECL
 - ✓ Plausible as Oceanic tend to have distinct negators for IMP&DECL (Lynch et al. 2002, Mosel 1999)
 - ❖ But why would *ae* begin to negate IMP?
 - ❖ Given *te* is restricted to ‘cease’, why would there not have previously been a way to express general prohibition ?
- Creates new APPR category
 - ❖ Yet Oceanic languages often have apprehensives so how likely is it that one did not already exist?
- 2nd person > 2nd and 3rd person ??

Direction of development

- If **APPR** *te + V + =i* → **PROH** *te + RD~V + (=i)*
- ✓ Existing APPR category, typically Oceanic
- ✓ One PROH *ae + RD~V + (=i)*, typically Oceanic
 - ✓ *ae* is widespread negator and PROH with *ae* 2 x more frequent than PROH with *te* in text corpus
- But why would *te* develop into PROH?
 - Perhaps a negative directive with apprehensive nuance or function of cessative/warning develops into new PROH strategy (see Devos and Van Olmen 2013: 45)
 - Intermediate directive puts the face-threatening act “off record”
- 2nd and 3rd person > 2nd person restriction

Insubordination

- APPR subordinate clause → prohibitive main clause via
- **Insubordination** - “the conventionalized main clause use of what... appear to be formally subordinate clauses” (Evans 2007: 367)
 1. Precaution ‘do/don’t’ + Apprehension-causing ‘let not/might’
 2. Ellipsed precaution, reconstructed by hearer
 3. Interpretation restricted to IMP/HORT/PROH > Apprehension-causing is associated with and implicates a command > associated with negative polarity due to *te* ‘let not/might’
 4. Inference is conventionalized, PROH implicature semanticised
- Verb reduplicated on analogy with existing PROH *ae + RD~V + (=i)*
 - Anomalous April 2018 examples – intermediate stages
 - Could also be motivated by contact with Rotokas and Tok Pisin

Deontic modality

- Papapana data supports Pakendorf&Schalley's (2007) path but this is not necessarily counter to proposed unidirectional development Deontic modality → Epistemic modality
- Because prohibitives are not necessarily deontic
 - **Jussive (imperative/hortative/optative) modality** - speaker, as authority, asks the addressee to act as a proxy authority and change the world from its inertial path
vs. deontic modality, “a general authority asks a proxy authority to act in one way” (Timberlake 2007: 329-330)
 - Permission and obligation should be analysed in speech act terms, as **directives** - “an attempt ... by the speaker to get the hearer to do something” (Searle 1976: 11)
vs. deontic modality – “(degrees of) moral acceptability or necessity” (Nuyts, Byloo, and Diepeveen 2010: 16)

Concluding remarks

- Papapana prohibitives: *te + RD~V (+ =i)* ‘don’t, cease’
ae + RD~V (+ =i) ‘don’t, refrain from’
- Papapana apprehensive clauses: *te + V + =i*
- Other languages where APPR&PROH morphemes are formally similar/identical (often polysemy) – perhaps not so rare after all
- Two functions of *te* related diachronically, but not polysemous
- Papapana data supports Pakendorf and Schalley’s (2007) proposed pathway: **apprehension** → **prohibition**
- Not necessarily against **deontic** → **epistemic**
- Future – further support for April 2018 analyses? Diachronic source of *te*? Contact-induced change? Other languages showing similar development?

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A large group of people, likely from a Pacific Island culture, are gathered in a grassy field. Many are wearing traditional attire, including skirts and shawls made of woven palm fronds or similar natural materials. Some individuals have elaborate headpieces or are holding colorful umbrellas. The background features lush green hills and mountains under a cloudy sky. A long, low building with a white roof is visible in the mid-ground.

Mata:na!

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